

Attorney's Docket No.: 42390P6459

Patent

In re the Application of: Chiang et al.

(inventor(s))

Application No.: 09/191,930

Filed: November 13, 1998

For: In-Plane On-Chip Decoupling Capacitors and Method For Making Same

(title)

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 40	Minus	** 29	11
Indep. Claims	* 6	Minus	*** 7	0
<input type="checkbox"/>	First Presentation of Multiple Dependent Claim(s)			

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY

Rate	Additional Fee
X9	\$
X42	\$
+140	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY

Rate	Additional Fee
X18	\$198.00
X84	\$0.00
+280	\$
Total Add. Fee	\$ 198.00

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on November 8, 2002

Date of Deposit

Krista Mathieson

Name of Person Mailing Correspondence

Krista Mathieson

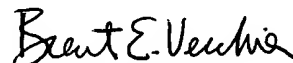
Signature

November 8, 2002

Date

- ☒ A check in the amount of \$ 198.00 is attached for presentation of additional claim(s).  
Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to  
37 C.F.R. § 1.136(a).
- ☒ A check for \$ 740.00 is attached for processing fees under 37 C.F.R. § 1.17.  
Please charge my Deposit Account No. \_\_\_\_\_ the amount of \$ \_\_\_\_\_.  
**A duplicate copy of this sheet is enclosed.**
- ☒ The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the  
following fees associated with this communication or credit any overpayment to Deposit Account  
No. 02-2666 (**a duplicate copy of this sheet is enclosed**):
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of  
extra claims.
- ☒ Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP



Brent E. Vecchia  
Reg. No. 48,011

Date: November 8, 2002

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(303) 740-1980



## FEE TRANSMITTAL FOR FY 2003

TOTAL AMOUNT OF PAYMENT (\$) \$938.00

Complete if Known:

Application No. 09/191,930

Filing Date November 13, 1998

First Named Inventor Chiang et al.

Group Art Unit 2825

Examiner Name Malsawma, Lalrinfamkim

Attorney Docket No. 42390P6459

TO: 2800 MAIL ROOM

NOV 19 2002

RECEIVED

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor &amp; Zafman

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

FIRST CLASS CERTIFICATE OF MAILING  
(37 C.F.R. § 1.8 (a))

2. ☒ Payment Enclosed: ☒ Check  
☐ Money Order  
☐ Other

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1001	740	2001	370
1002	330	2002	165
1003	510	2003	255
1004	740	2004	370
1005	160	2005	80

## Fee Description

Utility application filing fee  
Design application filing fee  
Plant filing fee  
Reissue filing fee  
Provisional application filing fee

Fee Paid

SUBTOTAL (1) \$0.00

November 8, 2002  
Date of Deposit  
Mustamathion  
Name of Person Mailing Correspondence  
Mustamathion  
Signature  
11/8/02

## 2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims		- 20** =	X	=
Independent Claims		- 3** =	X	=
Multiple Dependent				=

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1202	18	2202	9
1201	84	2201	42
1203	280	2203	140
1204	84	2204	42
1205	18	2205	9

## Fee Description

Claims in excess of 20  
Independent claims in excess of 3  
Multiple dependent claim, if not paid  
\*\*Reissue independent claims over original patent  
\*\*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Larg Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>F</u>	<u>Fee</u>	<u>F</u>	<u>Fee</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	400	2252	200	Extension for reply within second month	
1253	920	2253	460	Extension for reply within third month	
1254	1,440	2254	720	Extension for reply within fourth month	
1255	1,960	2255	980	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	740	2810	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	740	2801	370	Request for Continued Examination (RCE)	\$740.00
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,280	1454	1,280	Acceptance of unintentionally delayed claim for priority	
Other fee (specify) <u>Additional Claims Filing Fee</u>					\$198.00
Other fee (specify) _____					
<b>SUBTOTAL (3)</b>					<b>\$ 938.00</b>

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Brent E. VecchiaSignature: Brent E. Vecchia Date: Nov. 8, 2002Reg. Number: 48,011 Telephone Number: (303) 740-1980